

UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF TENNESSEE
NASHVILLE DIVISION

UNITED STATES OF AMERICA)
) No. 3:14-00037
v.)
) JUDGE SHARP
HECTOR PALMA ZAPIEN, et al.)

PROTECTIVE ORDER REGARDING DISCOVERY

Upon the motion of the United States, the Court concludes that discovery would be facilitated by a protective order pursuant to Federal Rule of Criminal Procedure Rule 16(d)(1). Accordingly, on this 36th day of October, 2014, it is HEREBY

ORDERED THAT:

- 1) Definition of Discovery Material. This order shall govern all documents and materials furnished at any time to any Party by another Party and all information derived from such documents and materials and all copies, excerpts, or summaries (collectively, "Discovery Material").
- 2) Confidentiality of Discovery Material. All Discovery Material shall be treated as confidential during the pendency of this action and shall be referred to as confidential without the need for further designation. Discovery Material shall be used by the Parties solely for the purposes of this action, and its control and distribution shall be the responsibility of counsel of record.
- 3) Disclosure of Discovery Material to Authorized Persons. No Party shall disclose Discovery Material to any person except as provided in this order. In particular, Discovery

Material may be disclosed by the Parties only to the following authorized persons and only for the following authorized purposes:

- a) The Parties, counsel for the Parties, and their respective employees;
- b) Any person retained by counsel to assist in the preparation and trial of this criminal action, including experts and paralegals;
- c) Any person who is required by a Party or requested by counsel for either Party to work directly on this action. With respect to such persons, Discovery Material shall be disclosed to the person only to the extent necessary to perform such work;
- d) Any person whose testimony was or will be taken in this action. With respect to such persons: (i) Discovery Material shall be disclosed to the person only in preparation for, during, or in review of the person's testimony; and (ii) the person shall not retain Discovery Material after his or her testimony has concluded;
- e) The author and addressees of Discovery Material;
- f) The Court and any persons employed by it working on this matter.

4) Reproduction of Discovery Material. Discovery Material shall not be copied or reproduced except as necessary to provide copies of the material for use by an authorized person as described above to prepare and assist in the defense or prosecution of this matter. All such copies and reproductions shall be treated in the same manner as the original material.

- a) Before any disclosure to a defendant or other authorized person listed above (other than the Court), the disclosing counsel shall inform that person of this order and its effect. The disclosing counsel shall further direct the person not to disclose any Discovery Material and that any unauthorized disclosure may be punished as contempt of court.

b) A Party's counsel shall be responsible for controlling and accounting for all such material, copies, notes, and other records described above, and shall be responsible for assuring full compliance by himself and any person assisting in this litigation with this order.

5) Limitation of Use of Discovery Material. Nothing in this order shall limit use of Discovery Material from being used in pretrial proceedings, investigation, motions and briefs, trial and other proceedings in this litigation, unless the Discovery Material is protected by another order protecting information received from a financial institution regulatory agency.

6) Discovery Material in Court Papers. Portions of Discovery Material, and any papers filed with the court in this case that include or refer to Discovery Material, shall be governed by the terms of this order. The Party's counsel filing such papers shall make a case-by-case determination regarding the need for redaction, filing under seal, or any other steps required to fulfill the terms of this order with respect to non-disclosure of Discovery Material.

7) Discovery Material Produced by the Government Remains Government Property. All Discovery Material produced by the Government in preparation for or in connection with any stage of the proceedings in this case, including, but not limited to, witness statements, memoranda of interviews, financial records, bank records, correspondence, personnel records, and any documents provided by the government, other than the items actually admitted during the trial and made a part of the record, remain the property of the United States. All such material and copies made thereof shall be returned to the United States Attorney's Office unless otherwise ordered by the Court or agreed upon by the Parties, at the occurrence of the last of the following: (i) the completion of all appeals, habeas corpus proceedings, or other post-conviction proceedings; (ii) the conclusion of the sentencing hearing; or (iii) the resolution of all charges

against the last remaining defendant. Written certification of compliance with this order shall be made to the Court at the time the Discovery Material is returned to the United States.

8) Requests for Segregation. Upon written request of a defendant, such materials returned shall be segregated and preserved by the United States in connection with any further proceedings related to this case that are specified in such request until such proceedings are concluded.

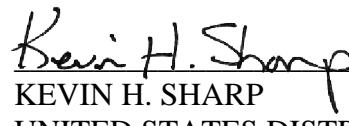
9) Party's Use of His or Her Own Documents. Nothing in this order shall prevent a Party from any use of his or her own confidential documents.

10) Use of Discovery Material at Trial. The admissibility, confidentiality, and use of all Discovery Material at trial shall be subject to further order of the court.

11. Inadvertent Disclosure. Nothing in this order exposes a Party or a Party's counsel to contempt of court or any other type of sanction for unintentional and inadvertent disclosure of Discovery Material by that Party, that Party's counsel, or that Party's agent. In the event of such unintentional and inadvertent disclosure of Discovery Material, the disclosing Party's counsel shall promptly notify the other Parties' counsel of the inadvertent disclosure, and the Parties' counsel shall discuss in good faith what, if any, steps should be taken to minimize the effect of such disclosure.

12. Modification of Order. The terms of this order are subject to modification, extension, or limitation as may be agreed to by all Parties, or by order of the court.

BY THE COURT:


KEVIN H. SHARP
UNITED STATES DISTRICT JUDGE